



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/578,081

05/03/2006

Sverker Hartwig

AC-110

4774

7590

01/08/2010

Mark P. Stone  
50 Broadway  
Hawthorne, NY 10532

EXAMINER

ANDRISH, SEAN D

ART UNIT

PAPER NUMBER

3672

MAIL DATE

DELIVERY MODE

01/08/2010

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/578,081	<b>Applicant(s)</b> HARTWIG ET AL.	
	<b>Examiner</b> SEAN D. ANDRISH	<b>Art Unit</b> 3672	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 19 October 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1 - 25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 - 25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                    | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

Art Unit: 3672

## **DETAILED ACTION**

### ***Priority***

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### ***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 22 and 23 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claims 22 and 23 require controlling the power consumption of each sub-process. However, the independent claims 1 and 11 do not require controlling the power consumption of each sub-process. Claims 1 and 11 require controlling at least either the percussion power or the rotational power, or both (and/or).

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1 - 9, 11 - 19, and 21 - 25 are rejected under 35 U.S.C. 102(b) as being anticipated by Hobhouse (3,550,697).

Regarding claims 1, 5, 11, 15, 21, 24 and 25, Hobhouse discloses a means for controlling power consumption during rock drilling comprising: power supply means (electric motor 14);

Art Unit: 3672

adjusting flush power at least partially as a function of depth by using a controller to adjust speed, torque, and weight-on-bit, and associated required flow of mud-flush, as a function of hole depth (column 2, lines 8 - 14 and 46 - 50; column 4, lines 19 - 23 and 52 - 57). Examiner notes that mud-flush flow is directly proportional to and considered to be equivalent to the power required to pump said mud-flush (column 3, lines 38 - 39). Hobhouse discloses pressure of the mud-flush may be employed to control the weight-on-bit (column 2, lines 22 - 23). Pressure is a function of depth and increasing hole depth requires a change in flush power to control weight-on-bit and, therefore, flush power is adjusted at least partially as a function of depth. Applicant argues that the power flush adjustment as taught by Hobhouse is a function of the measured weight-on-bit and not on the depth of the hole being drilled. Since weight-on-bit varies with hole depth, examiner maintains the position that Hobhouse teaches flush power is adjusted indirectly ("at least partly") as a function of hole depth.

Regarding claims 2 and 12, Hobhouse further discloses adjusting hydraulic pressure as a function of drill rod diameter (column 4, line 73 - column 5, line 2). The drill rod is used as a safety mechanism to shut down the system power if the system exceeds a predetermined limit on torque, weight-on-bit, or weight/torque combination. Flush power is indirectly adjusted as a function of drill rod diameter because mud flush flow is adjusted as a function of torque and weight-on-bit. Applicant argues that Hobhouse fails to teach or suggest adjustment of flush power as a function of drill rod diameter. Examiner replies that Hobhouse teaches hydraulic pressure is dependent upon the diameter of the drill rod (column 4, line 74 – column 5, line 2). Since the amount of torque is related to the amount of hydraulic pressure, and since there is a

Art Unit: 3672

direct relationship between torque, weight-on-bit, and rotational speed, Hobhouse teaches flush power is adjusted indirectly (“at least partly”) as a function of the diameter of the drill rod.

Regarding claims 3, 13, 22, and 23, Hobhouse further discloses maintaining main power supply means at or below a predetermined level (column 4, lines 52 - 57).

Regarding claims 4 and 14, Hobhouse further discloses flow of mud-flush medium is substantially constant (column 2, lines 15 - 20).

Regarding claims 6, 7, 16, and 17, Hobhouse further discloses continuous monitoring of hole depth, drill speed, torque, and mud-flush flow (column 3, lines 6 - 41).

Regarding claims 8 and 18, Hobhouse further discloses computer means (column 3, lines 11- 14).

Regarding claims 9 and 19, Hobhouse further discloses a memory containing stored data related to hole depth (column 2, lines 47 - 51), the computer means being used to adjust drilling speed, torque, weight-on-bit, and the associated required mud-flush.

### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 10 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hobhouse in view of Larsson et al. (6,681,875). Hobhouse discloses all of the limitations of the above claim(s) except for percussion is performed by a top hammer. Larsson et al. teaches controlling percussive top hammer drilling and flush power (flushing speed) (column 1, lines 24

Art Unit: 3672

- 27; column 3, lines 13 - 18) to increase the life of percussive rock tool equipment. It would have been considered obvious to one of ordinary skill in the art, at the time the invention was made, to have modified the structure as disclosed by Hobhouse with the top hammer as taught by Larsson et al. to increase the life of percussive rock tool equipment.

### ***Response to Arguments***

8. Applicant's arguments filed 19 October 2009 have been fully considered but they are not persuasive.

Applicant argues that the power flush adjustment as taught by Hobhouse is a function of the measured weight-on-bit and not on the depth of the hole being drilled. Since weight-on-bit varies with hole depth, examiner maintains the position that Hobhouse teaches flush power is adjusted indirectly (“at least partly”) as a function of hole depth.

Applicant argues that Hobhouse fails to teach or suggest adjustment of flush power as a function of drill rod diameter. Examiner replies that Hobhouse teaches hydraulic pressure is dependent upon the diameter of the drill rod (column 4, line 74 – column 5, line 2). Since the amount of torque is related to the amount of hydraulic pressure, and since there is a direct relationship between torque, weight-on-bit, and rotational speed, Hobhouse teaches flush power is adjusted indirectly (“at least partly”) as a function of the diameter of the drill rod.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SEAN D. ANDRISH whose telephone number is (571)270-3098. The examiner can normally be reached on Mon - Fri, 7:30am - 5:00pm, Alternate Fri off, EST.

Art Unit: 3672

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Bagnell can be reached on (571) 272-6999. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/William Neuder/  
Primary Examiner  
Art Unit 3672

SDA  
1/4/2010